



Attorney Docket No.: PATENT  
MLNR-06501

2631  
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2-1-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Gwilym Luff et al.

Serial No.: 09/715,556

Filed: November 17, 2000

For: **IRIS DATA RECOVERY  
ALGORITHMS**

) Group Art Unit: 2631

) Examiner:

) **TRANSMITTAL LETTER**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, CA 94306  
) (650) 833-0160

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**JAN 31 2002**

**Technology Center 2600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-4-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 1-4-02 By: Thomas B. Haverstock



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Serial No.: 09/715,556

Filed: November 17, 2000

For: **IRIS DATA RECOVERY  
ALGORITHMS**

) Group Art Unit: 2631

) Examiner:

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, a copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,723,318;
- U.S. Patent No. 4,823,092;
- U.S. Patent No. 4,918,338;
- U.S. Patent No. 5,182,477;
- U.S. Patent No. 5,345,190;
- U.S. Patent No. 5,491,447;
- U.S. Patent No. 5,680,072;
- U.S. Patent No. 5,734,294; and
- U.S. Patent No. 6,060,935.

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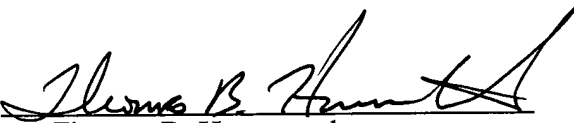
HAVERSTOCK & OWENS LLP.

Date: 1-4-02 By: Juan D. Rusan

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-4-02

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants



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In re Application of:

Gwilym Luff et al.

Serial No.: 09/715,566

Filed: November 17, 2000

For: **IRIS DATA RECOVERY  
ALGORITHMS**

) Group Art Unit: 2631

) Examiner:

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

### **Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited within Official Actions mailed on December 19, 2001. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(2).**

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

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HAVERSTOCK & OWENS LLP.

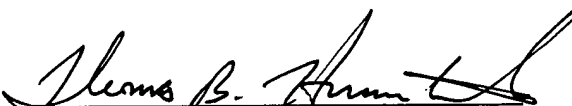
Date: 1-4-02 By: James S. Rusan

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-4-02

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants